

11 April 2025

Data Protection ECA Tasks

(audit reports, review and opinions)

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Data Protection Officer



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Legal Framework – ECA mandate and Data Protection

1

EU primary law:

- Treaty on European Union ([TEU](#)) - Article 13(2)
- Treaty on the Functioning of the European Union ([TFEU](#)) - Articles 285, 286, 287, 322, 325, 336

- The **Charter of Fundamental Rights** (since the Treaty of Lisbon)

2

EU secondary law:

- Article 92 of [Regulation \(EU\) No 806/2014](#)
- Articles 57, 63(2)(d), and 263 of [Regulation \(EU, Euratom\) 2024/2509](#) (**the Financial Regulation**)

- [Regulation \(EU\) 2018/1725](#) (the “**EUDPR**”)



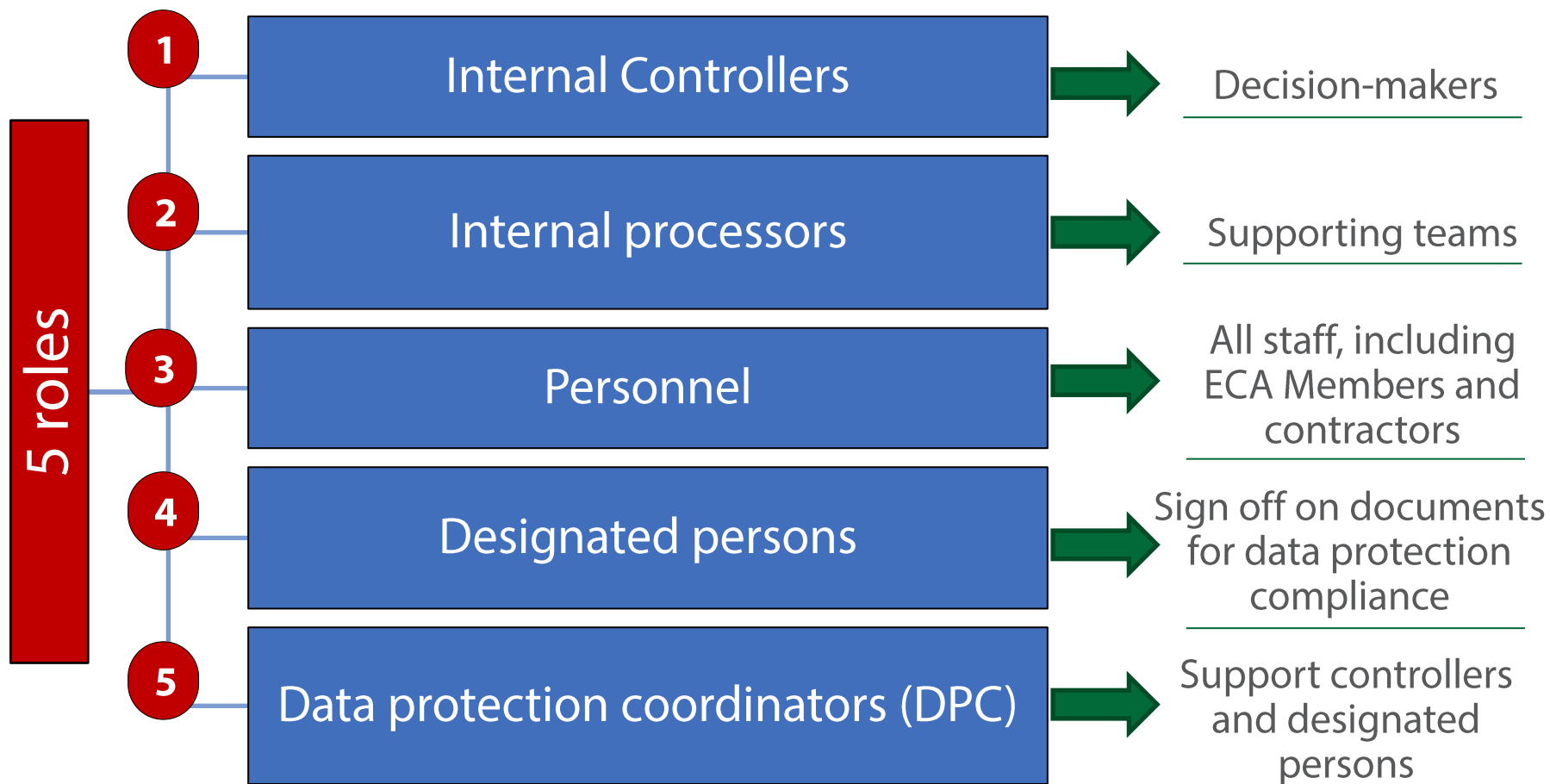
**Article 16(1)
of the TFEU**

3

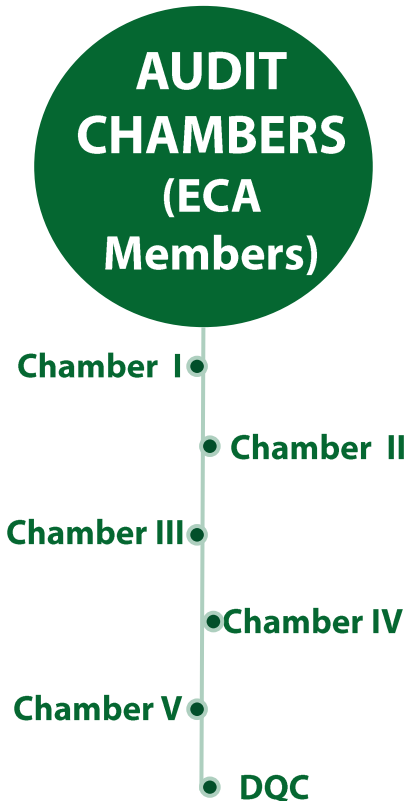
ECA Internal Rules:

- [Decision No 11-2024](#) adopting implementing rules concerning the DPO, including rules and procedures for the application of the EUDPR by the ECA through the internal allocation of responsibilities.

Data Protection Roles at the ECA: Decision No 11-2024 and Internal Guide



ECA Audit Chambers: Internal Controllers for ECA Tasks



eca.europa.eu/sites/DPO/en/records-register

Home Legal framework **Records register**

Audit chambers and DQC

Audit Chamber I – Sustainable use of natural resources

2024

General Record Chamber I

Annex to the General Record 2024

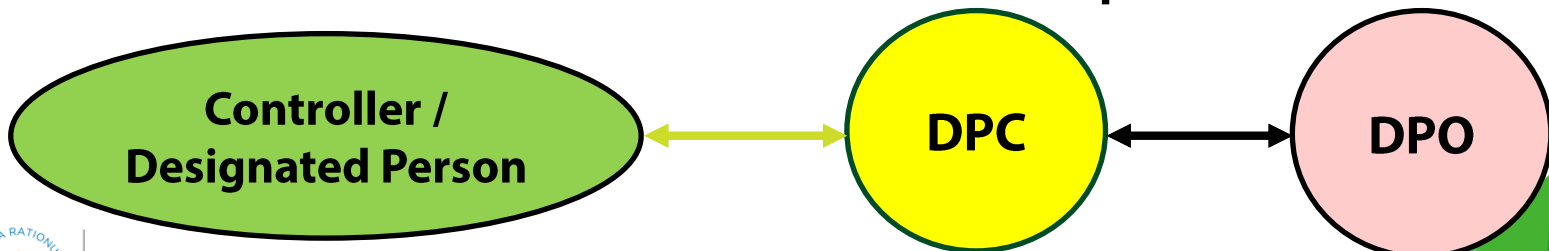
Audit Chamber II – Investment for cohesion, growth and inclusion

Audit Chamber III – External action, security and justice

Audit Chamber IV – Regulation of markets and competitive economy

Audit Chamber V – Financing and administering the Union

- **Notification to the DPO - procedure**

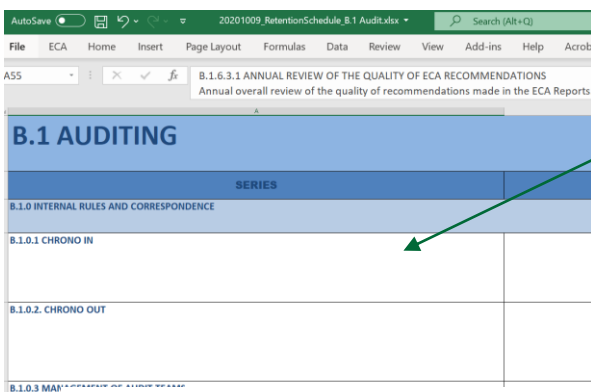
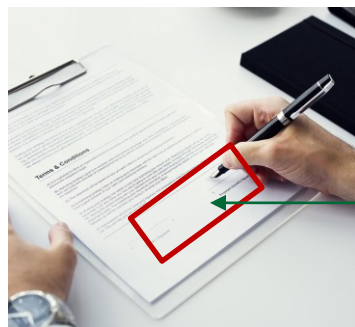


Data Protection Statement – General

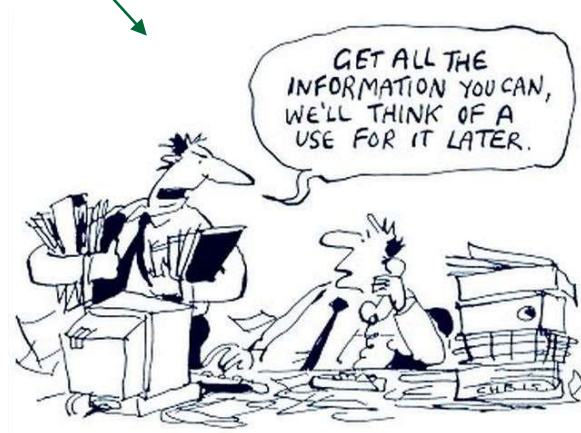
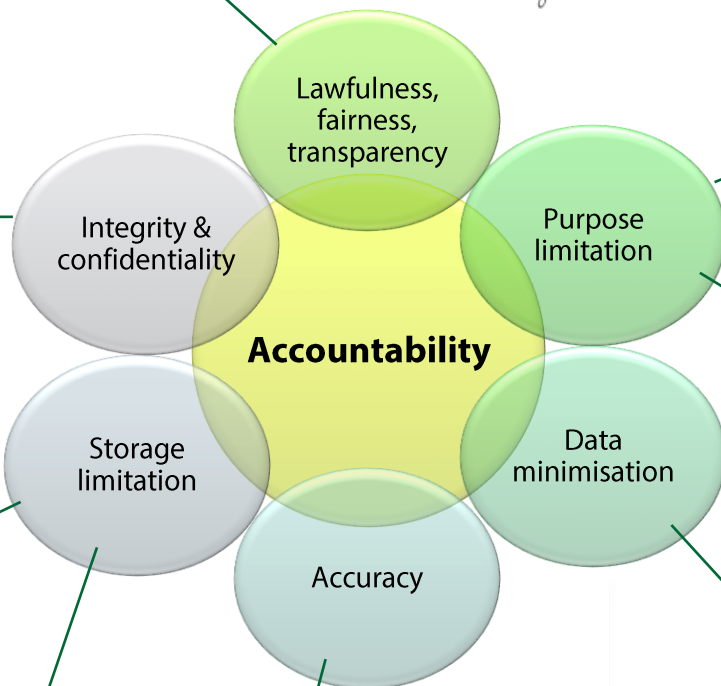
How we process personal data when working on audits, reviews and opinions

At the European Court of Auditors (ECA), we collect data as part of our professional duties, primarily as evidence for our audits and material for our reviews and opinions (our **professional tasks**). Some of these data include personal information. We have a responsibility to look after this information, based not only on the applicable legislation and professional standards we follow as a supreme audit institution, but also on our own internal rules. **We take this responsibility very seriously.**

Art. 31(4): "Union institutions and bodies shall make the record available to the European Data Protection Supervisor on request".



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Dedicated tools for auditors



General Compliance

Inform on how we process personal data

Inform auditees and other bodies concerned if and how we process personal data during our tasks. Send them the [general data protection statement for ECA tasks](#), e.g. as an annex to the notification letter or e-mail confirming the visits. If relevant, send other specific data protection statements related to [surveys](#), processing sensitive data or [taking photos and videos](#). Contact the [data protection officer](#) or data protection coordinator designated in [your chamber](#) if you need more information.



General Compliance

Establish the details of the planned visit or meeting

When planning visits and online meetings with auditees, reviewees or other bodies we wish to consult, make initial contact at working level well in advance. Explain the purpose of the planned visit or meeting, agree a date and time convenient for all parties. Make every effort to accommodate constraints on the bodies concerned (e.g. public holidays, or other audit visits planned for the same time).

Member states may have designated a **coordinating body** to arrange meetings with national authorities, forward audit findings and other documents, collect replies, and if necessary, consolidate them before sending them to us. In some member states, the SAI is the coordinating body. In others, it is another designated national authority (e.g. a ministry of finance or national coordinator for EU affairs). Respect the [arrangements in place](#) with each SAI. Consider asking the [contact person for EU activities within the SAI](#) for information and advice. In the absence of a coordinating body, communicate with all the national authorities concerned separately.

Agree on with whom to communicate formally, and at a working level, to whom to send a copy of notifications (such as the SAI liaison officer in member states), and who requires access to [ECAfiles](#) for sharing documents.



Assyst II Smart Client

This is the official and mandatory-to-use audit documentation system of the Court.



ECAfiles



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Thank you for your attention!

For further information,
please [contact us](#).



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Office K1.2.33



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